

Subrecipient Commitment Form



Part I: To be completed by all subrecipients/subcontractors

All subrecipients, as well as potential subcontractors who anticipate funding under a federal or non-federal contract, should complete this form when participating as a subrecipient or subcontractor in a proposal submitted by the University of Georgia or the University of Georgia Research Foundation, Inc. This form provides a checklist of documents and certifications required by prime sponsors and it must be endorsed by the subrecipient's authorized institutional representative prior to proposal submission.

1. UNIVERSITY OF GEORGIA PROPOSAL INFORMATION - PASS-THROUGH ENTITY (PTE)							
PTE PI: PTE Proposal Number:	Prime Sponsor: If Prime Sponsor is NIH, is this an MPI project: Yes No						
2. SUBRECIPIENT PROPOSAL INFORMATION							
Legal Name: Tax ID/EIN: Address: Phone Number: Subrecipient PI: Subrecipient PI Email: Place of Performance: Congressional District:	Organization Type: Authorized Official Name: Authorized Official Email: Financial Contact Name: Financial Contact Email: Project Title: Project Period: Total Request Amount: Subrecipient Proposal Number: UFI Number:						
3. PROPOSAL DOCUMENTS							
The following documents are included in our subaward proposal and covered by the certifications below: <table border="0"> <tr> <td>Scope of Work (Required)</td> <td>Facilities & Other Resources</td> </tr> <tr> <td>Budget and Justification (Required)</td> <td>Cost Sharing Amount (if applicable):</td> </tr> <tr> <td>Biographical Sketches</td> <td>Other:</td> </tr> </table>		Scope of Work (Required)	Facilities & Other Resources	Budget and Justification (Required)	Cost Sharing Amount (if applicable):	Biographical Sketches	Other:
Scope of Work (Required)	Facilities & Other Resources						
Budget and Justification (Required)	Cost Sharing Amount (if applicable):						
Biographical Sketches	Other:						
4. CERTIFICATIONS							
<i>Documentation of Subrecipient's approval(s) may be required</i>							
Subrecipient's Scope of Work Includes: Human Subjects If human subjects are involved, have all key personnel completed human subjects training? Yes No Vertebrate Animals Custom made antibodies Human induced pluripotent or Human Embryonic Stem Cells	Recombinant DNA Dual Use Research of Concern (DURC) For a list of applicable agents, see page 9 of NIH policy . Human fetal tissue Large Scale Human or Non-Human Genomic Data (if NIH) <i>For applicability, please refer to NOT-OD-14-124. Documentation of an approved consent form and Institutional Certification will be required prior to the award, at the "Just in Time" stage.</i>						
5. FDP Clearinghouse							
Does the subrecipient entity participate in the FDP Clearinghouse by having updated information and documentation in the FDP Clearinghouse, https://fdpclearinghouse.org/organizations , ? Yes No If Yes, print and sign this page only. If No, complete Part II of this form, and print and sign all three pages.							

By signing below, I certify that I am an authorized institutional representative and the information and representations made herein are true, accurate and complete. The appropriate programmatic and administrative personnel involved in this application are aware of all sponsor policies regarding subawards and are prepared to establish the necessary inter-institutional agreements consistent with those policies. Any work begun and/or expenses incurred prior to execution of a subaward agreement are at the subrecipient's own risk. **Additionally, I acknowledge that subaward payments require registration as a UGA vendor, and subrecipient institution is registered or will be registered prior to award execution. Vendor registration information can be found here: https://onesource.uga.edu/news/vendor_registration_information/**

Signature of Subrecipient's Authorized Institutional Official

Name and Title of Subrecipient's Authorized Institutional Official

Date



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Part II: To be Completed by Subrecipients/Subcontractors NOT participating in the FDP Clearinghouse Pilot

For a list of participating institutions, see <https://fdpclearinghouse.org/organizations>.

Certifications

1. **Indirect Cost (IDC) Rates** included in this proposal have been calculated based on the following:
 - Our federally negotiated IDC rate for this type of work.
 - No federal negotiated rate and we hereby agree to accept the 10% de minimis MTDC rate as a subrecipient.
 - In the case of NIH: NIH will continue to reimburse IDC costs to foreign and international organizations at a rate of 8 percent of modified total direct costs (MTDC) less only equipment.

A reduced IDC rate dictated by the prime sponsor that we hereby agree to accept. Rate: Base:

 - Not applicable (no Indirect Cost are requested). If checked, please specify rationale in Comment Section below.
 - Indirect Costs are not separately requested as costs are fully burdened.
2. **Fringe Benefit Rates** included in this proposal have been calculated based on the following:
 - Rates are consistent with our federally negotiated rates.
 - Other rates (please specify in Comment Section below the basis on which the rate has been calculated)
 - Fringe Benefits are not separately requested as costs are fully burdened.
3. **Financial Conflict of Interest**
 - Subrecipient organization/institution has an active and enforced policy on conflict of interest.
 - Subrecipient does not have an active and/or enforced conflict of interest policy and hereby agrees to abide by UGA's policy. To comply with UGA's policy, please attach a completed NON-UGA Affiliate Disclosure Form for each investigator on this project.
4. **Financial Conflict of Interest – Public Health Service (PHS)**
 - Applicable to projects funded by PHS/NIH, or any sponsor following PHS FCOI Regulations.
 - Not applicable because this project is not being funded by PHS/NIH or any other sponsor following the PHS FCOI Regulations.
 - Subrecipient organization/institution has an active and enforced policy on conflict of interest consistent with the provision of 42 CFR Part 50 Subpart F.
 - Subrecipient does not have an active and/or enforced conflict of interest policy and hereby agrees to abide by UGA's policy. To comply with UGA's policy, please attach a completed [Non-UGA Affiliate Disclosure Form](#) for each investigator on this project.
5. **Ethics in Research Training**
 - Applicable to projects funded by NSF or any other programs requiring Ethics in Research Training.
 - Not applicable because this project is not being funded by NSF or any other programs requiring Ethics in Research Training.
 - Subrecipient organization/institution will ensure that all undergraduates, graduate students, and postdoctoral researchers who will be supported by this NSF proposal will be trained on the oversight in the responsible and ethical conduct of research.
6. **Debarment, Suspension, Proposed Debarment**

Is the PI or any other employee or student participating in this project, debarred, suspended or otherwise excluded from or ineligible for participation in federal assistance programs or activities? Yes No

If YES, please explain in Comment Section below.

If NO, the Organization Certifies it (answer all questions below):

is is not presently debarred, suspended, proposed for debarment, or declared ineligible for award of federal contracts.

is is not presently indicted for, or otherwise criminally or civilly charged by a government agency.

has has not within three (3) years preceding this offer, been convicted of or had a civil judgment rendered against them for commission of fraud or criminal offense in connection with obtaining , attempting to obtain, or performing a public (federal, state, or local) contract or subcontract; violation of Federal or State antitrust statutes relating to the submission of offers; or commissions of contract or subcontract; violation of Federal or State antitrust statutes relating to the submission of offers; or commission of embezzlement, theft, forgery, bribery, falsification, or destruction of records, making false statements or receiving stolen property

has has not within 3 years preceding this offer, had one or more contracts terminated for default by any federal agency.

